List of Excluded Individuals and Entities (LEIE)
Do Not Pay (DNP) Quick Reference Card

Getting Started

What is LEIE?
LEIE provides information to the health care industry, patients, and the public regarding individuals and entities currently excluded from participation in Medicare, Medicaid, and all other federal health care programs. LEIE is owned and administered by the Department of Health and Human Services (HHS) Office of the Inspector General (OIG).

What is the difference between LEIE and the General Services Administration (GSA) System for Award Management (SAM) formerly EPLS?
LEIE contains **only** the exclusion actions taken by the HHS OIG. SAM is administered by GSA and contains debarment actions taken by various federal agencies, including exclusion actions taken by the HHS OIG.

What are the types of exclusions and what causes them to be applied to individuals and entities?
Mandatory Exclusions are applied to individuals and entities convicted of the following:
- Medicare or Medicaid fraud
- Patient abuse or neglect
- Felony convictions for other health care related offenses, including unlawful manufacture, distribution or prescription of controlled substances

Permissive Exclusions may be applied at the discretion of HHS OIG on a number of grounds, including misdemeanor convictions of the Mandatory Exclusion offenses, loss of professional licensing, poor professional performance, and the lack of financial integrity.

What are available exceptions to the exclusions?
HHS OIG has the authority to waive an individual or entity's exclusion as a provider in federal health care programs. The provider must be the sole community physician or sole source of essential specialized services.

Frequently Asked Questions

Does LEIE include exclusions from other agencies?
No, the List of Excluded Individuals and Entities includes only exclusion actions taken by the HHS OIG.

How do I gain access to LEIE through DNP?
Federal agencies that are interested in viewing the LEIE data within the DNP portal must contact their Agency Outreach Liaison to apply for access to the data source.

How do I investigate an excluded match?
Contact the original source agency that excluded the entity for further details surrounding the exclusion then compare it to your business requirements to determine eligibility. The original source agency that excluded the entity can be found when viewing the match in DNP.

How will LEIE appear in DNP?
DNP offers Public and Restricted versions of LEIE. See the back page for available data elements which will be returned within the DNP Portal upon a search.

What should I do if I receive a positive match when searching LEIE?
Check the Special Advisory Bulletin on the Effect of an Exclusion for guidance. If you have already employed the individual, check the Self-Disclosure Protocol, located by clicking the following link: *Self Disclosure page*.

Does an exclusion affect a person's right to receive a federal health care benefit?
An exclusion does not affect the ability to receive a federal health care benefit but does affect their ability to claim a payment from a federal health care program for items or services rendered.

How frequently is LEIE updated within DNP?
Updates in DNP occur monthly. DNP expects to receive a refreshed file by the middle of the month.

How can I report fraud or abuse related to the Medicare and Medicaid programs?
You may use the contact information found on the following link: *OIG Hotline page*.

Can excluded individuals or entities be removed from the LEIE data source?
Yes, reinstatement of excluded entities and individuals is not automatic once the specified period of exclusion ends. Those wishing to again participate in any federal health care program must apply for reinstatement and receive authorized notice from HHS OIG that their reinstatement has been granted.

Who could be included within an LEIE exclusion?
LEIE includes exclusions for individuals and entities including providers, the health care industry, medical students, as well as the public that have been convicted of a health care related offense.

How To Fix Incorrect Data
Contact HHS for further inquiries or data disputes.

HHS, OIG, OI
Attn: Exclusions
P.O. Box 23871
Washington, DC 20026
Telephone: 202-691-2311
Fax: 202-691-2298
Email: *sanction@oig.hhs.gov*

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Public vs. Restricted

DNP offers LEIE as two separate data sources: Public and Restricted. The primary difference is that the Restricted version matches against TIN whereas the Public does not. DNP offers each version of the data source within the following DNP functionality.

Available DNP Functionality	
LEIE Public	**LEIE Restricted**
Online Single Search Batch Matching Continuous Monitoring	Online Single Search

Search Tips

- ♦ LEIE includes only the name known to the HHS OIG at the time the individual was excluded, thus any former names used by the individual should be searched in addition to the current name.

- ♦ Individuals with a hyphenated name should be checked under each of the last names as well as the hyphenated name. (e.g. Jane Smith-Jones, Jane Smith, and Jane Jones should be checked)

- ♦ If a search result does not contain a DOB, UPIN, NPI, EIN, or SSN, it is not available from the HHS OIG. Contact the Exclusions Staff Contacts to determine if there is any other information available. See Important Links section.

Important Links
Hold the control key down and click on the following links.

Agency Exclusion Contacts
http://oig.hhs.gov/contact-us/

LEIE FAQs
http://oig.hhs.gov/faqs/exclusions-faq.asp

DNP Website
http://donotpay.treas.gov/index.htm

Data Elements Returned in the DNP Portal

When a match occurs, the search criteria along with the corresponding LEIE data elements, will be returned through the search method utilized within the DNP Portal. DNP displays all of the listed data elements, per version of LEIE, for each functionality offered. Note that LEIE Restricted is only available in Online Single Search.

Data Element	Description	LEIE Public	LEIE Restricted
SSN/EIN/TIN	Social Security Number / Employer Identification Number / Taxpayer Identification Number	x	✔
Name	Full name of individual or entity being excluded	✔	✔
General	Basic type of medical practice or position of the individual or entity being excluded	✔	✔
Specialty	Medical specialization of the individual or entity being excluded	✔	✔
Exclusion Program* *Batch Matching or Continuous Monitoring download Data Field = Matched List Status Code*	An alpha-numeric reference to specific amendments of Sections 1128 and 1156 of the Social Security Act; provides a reason for the exclusion	✔	✔
Exclusion Description *Batch Matching or Continuous Monitoring download Data Field = Matched List Status Code Description*	Short description of the exclusion program reason code listed above	✔	✔
Exclusion Date *Batch Matching or Continuous Monitoring download Data Field = Matched List Status Effective Date*	Date of the individual's or entity's exclusion	✔	✔
Birth Date	Date of birth of the individual being excluded	✔	✔
National Provider Identifier (NPI)	The NPI replaced the UPIN as the unique number used to identify health care providers other than by SSNs. The Centers for Medicaid & Medicare Services first began assigning NPIs in 2006, and providers were required to use NPIs as of mid-2008. Not all NPIs are available in the LEIE data source.	✔	✔
Waiver Date	Date the individual's or entity's exclusion status was waived	✔	✔
Waiver State	State in which the individual's or entity's exclusion was waived	✔	✔
Address, City, State, Zip**, Country	Street address , City, State, Zip, & Country of the individual or entity being excluded	✔	✔

* LEIE Public displays one Exclusion Program for an excluded individual or entity while LEIE Restricted can display multiple exclusions, when applicable.

** LEIE Public shows a 5-digit zip code whereas LEIE Restricted can show a 9-digit zip code.